

Borough Council of
**King's Lynn &
West Norfolk**



Audit and Risk Committee

Agenda

Tuesday, 23rd February, 2016
at 5.30 pm

in the

**Committee Suite
King's Court
Chapel Street
King's Lynn
PE30 1EX**



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King's Court, Chapel Street, King's Lynn, Norfolk, PE30 1EX
Telephone: 01553 616200
Fax: 01553 691663

15 February 2016

Dear Member

Audit and Risk Committee

You are invited to attend a meeting of the above-mentioned Committee which will be held on **Tuesday, 23rd February, 2016 at 5.30 pm** in the **Committee Suite, King's Court, Chapel Street, King's Lynn** to discuss the business shown below.

Yours sincerely

Chief Executive

AGENDA

1. Apologies

To receive any apologies for absence.

2. Minutes

To approve the minutes from the Audit and Risk Committee held on 24 November 2015 (previously circulated).

3. Declarations of Interest

Please indicate if there are any interests which should be declared. A declaration of an interest should indicate the nature of the interest (if not already declared on the Register of Interests) and the agenda item to which it relates. If a disclosable pecuniary interest is declared, the Member should withdraw from the room whilst the matter is discussed.

These declarations apply to all Members present, whether the Member is part of the meeting, attending to speak as a local Member on any item or simply observing the meeting from the public seating area.

4. Urgent Business Under Standing Order 7

To consider any business which, by reason of special circumstances, the Chairman proposed to accept as urgent under Section 100(b)(4)(b) of the Local Government Act 1972.

5. Members Present Pursuant to Standing Order 34

Members wishing to speak pursuant to Standing Order 34 should inform the Chairman of their intention to do so and on what items they wish to be heard before the meeting commences. Any Member attending the meeting under Standing Order 34 will only be permitted to speak on those items which have been previously notified to the Chairman

6. Chairman's Correspondence (if any)

7. Matters referred to the Committee from other Council Bodies and Responses made to previous Committee Recommendations/Requests

To receive comments and recommendations from other Council bodies, and any responses subsequent to recommendations, which the Committee had previously made. (NB some of the relevant Council bodies may meet after dispatch of the agenda).

8. Risk Assessment Briefing/Training

All Members have been invited to attend for this item. The training will be delivered by the Internal Audit Team.

9. Annual Certification of Claims and Returns (Pages 6 - 14)

Ernst & Young, the Council's External Auditors will present the report.

10. External Audit Plan (Pages 15 - 32)

Ernst and Young, the Council's External Auditors will present the Audit Plan.

11. Local Government Audit Committee Briefing (Pages 33 - 44)

Ernst and Young, the Council's External Auditors will present the report.

12. Strategic Internal Audit Plan (Pages 45 - 58)

The Audit Manager will present the Plan.

13. Members Training

Members are invited to discuss any future training opportunities that they might find useful.

**14. Cabinet Report: Treasury Management Strategy 2016/2017
(Pages 59 - 90)**

The Committee are invited to consider the report and make any appropriate recommendations to Cabinet.

15. Cabinet Report: Risk Management Policy and Strategy Review

(Pages 91 - 105)

The Committee are invited to consider the report and make any appropriate recommendations to Cabinet.

16. Committee Work Programme 2015/2016 and Forward Decisions List
(Pages 106 - 109)

To note the Committee's Work Programme for 2015/2016 and Forward Decisions List.

17. Date of Next Meeting

The date of the next meeting will be scheduled once the Calendar of Meetings 2016/2017 has been agreed by Cabinet.

To:

Audit and Risk Committee: B Anota, J Collop, P Colvin, I Devereux, I Gourlay, G Hipperson (Vice-Chairman), P Hodson, H Humphrey (Chairman), G Middleton, A Morrison, D Tyler and G Wareham

Portfolio Holder:

Councillor N Daubney, Leader and Portfolio Holder for Resources

Management Team Representatives:

Ray Harding, Chief Executive
Lorraine Gore, Assistant Director

Appropriate Officers: The following officers are invited to attend in respect of the Agenda item shown against their name

Item 8: Gordon Adam, Auditor
Item 12: Kate Littlewood, Audit Manager
Item 14: Toby Cowper, Group Accountant